



Australian Government

Department of Defence

Intelligence and Security - Australasian Information Security Evaluation Program

AI011

Australasian Certification Authority

AISEP Interpretation

AI No.	AI011
AI Version	1.0
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Summary	This AISEP Interpretation clarifies the policy in the ACP and AEP to explicitly identified functionality excluded from the scope of a product's evaluation to the consumers.
Reference	AISEP Certifier Policy v4.0 (ACP) AISEP Evaluator Policy v4.0 (AEP)

Evaluation Requirement

At present, the AISEP Certifier Policy and AISEP Evaluator Policy provide information on what must be included in the Certification Report (CR). However, functionality excluded from the scope of a product's evaluation which might be reasonably expected as an inclusion has not been made explicit.

Background

In September 2011, members from the CCRA conducted a Voluntary Periodic Assessment (VPA) of the Australasian CC scheme. To maintain compliance with CCRA requirements, the following observation was made:

“It is suggested that non-evaluated functionality that can be reasonably expected by the consumer (i.e. functionality that is present in the product, but not claimed by the TOE) be clearly identified to the consumer (e.g. in the Security Target or Certification Report).”

Evaluation Guidance

Upon release of AI011, the relevant affected sections should read:

In Section 3.4.7 of the ACP (Create certification Report):

- 43 The Certification Report (CR) provides information for consumers in relation to the TOE's security functionality and specific recommendations for installing and configuring the TOE so that it can be operated in a secure manner. The requirements for the CR are provided in the *Common Criteria Recognition Arrangement (CCRA) – Annex C* (Ref. [7]). To ensure correct use of the TOE, the CR should explicitly identify any non-evaluated functionality that might otherwise be expected by consumers to form part of the TOE.

In Section 2.2.11 of the AEP (Review certification report):

- 120 The Certification Report (CR) provides information for consumers in relation to the TOE's security functionality and specific recommendations for installing and configuring the TOE so that it can be operated in a secure manner. *Annex C* of the *Common Criteria Recognition Arrangement (CCRA)* (Ref. [6]) identifies the requirements that **MUST** be included in the CR. To ensure correct use of the TOE, the CR should explicitly identify any non-evaluated functionality that might otherwise be expected by consumers to form part of the TOE.
- 121 On occasion, the AISEF and ACA agree that AISEF will draft the CR when the evaluation task is reaching the conclude phase. When this occurs, the ACA provides a CR template to the AISEF to generate a draft of the evaluation task CR. The ACA may override any text in the CR draft supplied by the AISEF and is responsible for the accuracy and completeness of the final CR.